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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
13273

Total Pages in this Submission

JC604 U.S. PTO



12/20/99

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**APPARATUS FOR AND METHOD OF VARIABLE BIT RATE VIDEO CODING**

and invented by:

Yutaka Yokoyama



JC518 U.S. PTO

09/467812

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Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 45 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications *(if applicable)*
  - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d. ☐ Reference to Microfiche Appendix *(if applicable)*
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings *(if drawings filed)*
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

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## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal                      Number of Sheets 5
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy)                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449                      ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class                      ☒ Express Mail (Specify Label No.): EM169955328US

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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## Accompanying Application Parts (Continued)

15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)

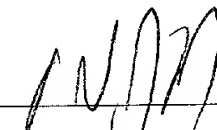
16. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	21	- 20 =	1	x \$18.00	\$18.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
OTHER FEE (specify purpose) Assignment recordal fee					\$40.00
TOTAL FILING FEE					\$818.00

- ☒ A check in the amount of \$818.00 to cover the filing fee is enclosed.
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  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



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# APPARATUS FOR AND METHOD OF VARIABLE BIT RATE VIDEO CODING

## BACKGROUND OF THE INVENTION

The present invention relates to an apparatus for and a method of variable bit rate video coding and, more particularly, to those in which the generated code bit count is controlled in real time at a variable bit rate.

MPEG-2 (ISO/IEC-13818-2), for instance, is well known in the art as a method of high efficiency video coding. In this method, the picture is divided into a plurality of blocks each constituted by a group of a plurality of pixels, and a special domain signal is converted to a frequency domain signal by executing discrete cosine transform (DCT) on each block. Each frequency component called DCT coefficient obtained by the discrete cosine transform, is quantized with a quantization step size, which is predetermined for each coefficient position (i.e., frequency). The quantized data is variable length coded by assigning variable length codes to the DCT coefficients. The coded data thus obtained is provided as a bit stream.

Fig. 8 is a view for describing an image coding method conforming to the MPEG-2 (ISO/IEC-13818-2). Referring to the Figure, the method is implemented by a system comprising a subtracter 501, a discrete cosine transform unit 502, a quantizer 503, a variable length coder 504, a bit rate controller 505, an inverse quantizer 506, an inverse discrete cosine transform unit 507, an adder 508, a frame memory 509, a motion compensated

inter-frame predictor 510 and a selector 511.

Input picture images are classified into I pictures to be intra-frame coded, P pictures to be inter-frame prediction coded by using only forward prediction and  
5 B pictures, for which prediction in both forward and backward is made. The input image is divided into a plurality of macro-blocks each of 16 x 16 pixels for coding the image in units of macro-blocks.

I pictures are intra-frame coded without the  
10 inter-frame prediction. In this case, the selector 511 selects signal value of "0" as prediction value, and the same value is outputted as input image signal value from the subtracter 501. The discrete cosine transform unit  
502 executes discrete cosine transform on the output  
15 signal value from the subtracter 501, and thus outputs a DCT coefficient. The quantizer 503 quantizes the DCT coefficient with a predetermined quantization step size.

The quantizer 503 outputs a quantized DCT  
coefficient as quantized coefficient to the variable  
20 length coder 504. The variable length coder 504 encodes the quantized coefficient with variable length codes. The variable length coded quantized coefficient is outputted together with other data as a bit stream. The  
inverse quantizer 506 inversely quantizes the quantized  
25 coefficient output of the quantizer 503. The inverse discrete cosine transform unit 507 executes inverse discrete cosine transform on the inversely quantized coefficient output of the inverse quantizer 503 thus

reconstructing the original input image signal.

The reconstructed image signal that is obtained through the inverse quantizer 506 and the inverse discrete cosine transform unit 507, is used as reference  
5 image in subsequently executed inter-frame prediction, and is stored through the adder 508 in the frame memory 509. The motion compensation inter-frame predictor 510 executes inter-frame prediction on the P and B pictures. The predictor 510 first determines a motion vector by  
10 comparing the input image signal and the reference image stored in the frame memory 509 and executing motion estimation for each macro-block as a division of the input image. Then, the predictor 501 determines a prediction mode based on the result of the motion estimation for  
15 each macro-block. In this process, it is determined whether it is better to or not to set an intra-frame mode, in which a macro-block coding process is executed on P and B pictures.

When it is determined that it is better to set the  
20 intra-frame mode for coding process determined in a macro-block prediction mode, the macro-block coding process on P and B pictures is the same as the above coding process on I pictures, that is, intra-frame coding process is executed without motion compensated  
25 inter-frame prediction according to any determined motion vector.

When it is determined that it is better not to set the intra-frame mode, that is, it is better to set the

non-intra-frame mode in the macro-block prediction mode,  
the macro-block coding process on P and B pictures is  
executed with infra-frame prediction by using the image  
signal recorded in the frame memory 509 as reference image.

- 5 The motion compensated inter-frame predictor 510  
generates a predicted image signal corresponding to  
input image signal on the basis of a previously determined  
motion vector.

- 10 The predicted image signal thus generated is  
provided to the subtracter 510 and also to the adder 508.  
In the following, the predicted image signal supplied  
to the subtracter will be described. Receiving the  
predicted image signal, the subtracter 501 computes the  
differential signal between the input image signal and  
15 the predicted image signal. The subsequent coding  
process on the differential signal is the same as the  
coding process on I pictures. That is, the discrete cosine  
transform unit 502 generates DCT coefficients from the  
differential signal, and the quantizer 503 quantizes the  
20 DCT coefficients.

- The differential signal outputted from the  
quantizer 503 is provided to the variable length coder  
504 for providing a bit stream, and it is also provided  
to the inverse quantizer 506. Through the inverse  
25 quantizer 506 and the inverse cosine transform unit 507,  
a reconstructing process is executed on the coded  
differential signal. This reconstructing process is the  
same as the reconstructing process on P and B pictures

as described above. Specifically, the adder 502 adds the reconstructed differential signal and the predicted image signal previously provided thereto, and thus a reconstructed image signal is generated. The

5 reconstructed image signal generated in the adder 508 is stored in the frame memory 509 to be used as reference image in a subsequent coding process by inter-frame prediction.

As shown above, in a method represented by  
10 MPEG-2(ISO/IEC-1388-2), for instance, an efficient coding process can be executed on the input video signal since it is possible to reduce the redundancy in the spatial domain in DCT and also reduce the time domain redundancy in the inter-frame prediction. In this method,  
15 the bit rate controller 505 which is provided between the variable length coder 504 and the quantizer 503, obtains the generated bit count from the variable length coder 504, then determines the quantization step size such as to meet a bit rate restriction, and transmits  
20 the determined quantization step size data to the quantizer 503 for controlling the generated bit count.

Prior art examples of coding process employed in the above method typically represented by the MPEG-2(ISO/IEC-13818-2 will now be described.

25 As a first prior art example, a bit rate control method in a test model (i.e., Test Model 5, ISO/ICE JTC1/SC21/WG11/N0400, April in 1993) of MPEG-2 is well known as a bit count control method in a coding method,



which involves the above quantizing process.

This method adopts a constant bit rate coding method, which seeks to set a generated bit count in intra-frame coding process and coding process by  
5 inter-frame prediction to constant bit count for each of certain time units. Specifically, in this method the bit count is controlled by adjusting the quantization step size set for each macro-blocks obtained by dividing the picture frame into  $16 \times 16$  pixels.

10 In this first prior art example, since the constant bit rate control method is adopted, it is necessary to control the bit count per unit time to be constant. The generated bit count is reduced by increasing the quantization step size for scenes, which requires high  
15 bit count code generation, and increased by reducing the quantization step size for scenes, which do not require so high bit rate code generation.

In this example, however, the quantization step size is set to control the bit count per unit time to  
20 be constant independently input images. Therefore, in a scene requiring a high bit count, the image quality is degraded, because the generated code bit count is suppressed by a large quantization step size.

To replace the constant bit rate coding method of  
25 the above first prior art example, a variable bit rate coding method has been proposed. In an example of variable bit rate coding method, when an encoder records images on storage media which have a predetermined total

recording bit count, the output bit rate is controlled according to the bit count required for each image, thus improving the average image quality while meeting the restriction imposed on the recording capacity of the storage media.

As a second prior art example of coding process, Japanese Patent Laid-Open No. 6-141298 discloses a process, which adopts the above reliable bit rate control method. In this second prior art example, a provisional coding process is first executed, in which DCT coefficient quantization is executed on the basis of a preliminarily set reference quantization step size for computing generated bit count, and then a regular coding process is executed for actual coding. This coding process is thus a "two-pass coding" system, in which the regular coding process is executed after completion of the provisional coding process. In other words, re-coding is executed after obtaining the knowledge of the characteristics of the whole contents, and it is thus possible to attain high image quality coding.

In this second prior art example, however, the coding of a certain video content requires execution of two processes, i.e., the provisional and regular coding processes, thus doubling the process time. Therefore, it is impossible to encode in real time processing.

For real time execution of the variable bit rate coding, a "single path coding" system, which does not execute any provisional coding process but executes the

single regular coding process, has been considered.

As a third prior art example of coding process, "An Algorithm of MPEG2 Real time Variable Bit Rate Video Coding with Quantizer Step" (Inada et al, Information System Journal 2 D-11-3 (page 3), General Conference of the Institute of Electronics, Information and Communication Engineers in March, 1998), is well known as "single pass coding" system in the above variable bit rate coding system.

10 In this third prior art example, an image coding process conforming to MPEG-2 is executed, and after a predetermined period of time the generated bit count is adjusted by setting a quantization step size for each GOP to provide a predetermined average bit rate.

15 Japanese Patent Laid-Open No. 10-164577 discloses a fourth prior art example of coding process. In this fourth prior art example, the actual generated bit count is used to update the bit count which can be assigned to subsequent several GOPs, and a target bit count or  
20 a target quantization step size is determined for each GOP on the basis of the ratio of the coding complexity of the present image to the average coding complexity over past images.

However, the above signal pass variable bit rate  
25 coding systems have a problem that a series of coding processes may result in deterioration of the image quality. This is so because in the single pass coding systems a bit count capable of being assigned or a target

bit rate is computed before computation of the target  
bit count or quantization step size. This means that  
preference is given to bit rate control in a fixed time  
period, resulting in insufficient quantization step size  
5 control depended on the image scene. Consequently, the  
coded image quality may not substantially differ in  
comparison to the constant bit rate case.

In addition, in the case of that the bit count is  
less than the average bit rate although the image does  
10 not require high bit rate code generation in low  
complexity scenes, the generated code bit count is sought  
to be increased by reducing the quantization step size  
in spite of the fact that sufficient image quality is  
obtained. This may result in an excessive code assignment  
15 and wasteful code consumption. Consequently, it may  
become impossible to assign sufficient bit count with  
an image which requires more bits in high complexity  
scenes.

#### SUMMARY OF THE INVENTION

20 The present invention has been made in view of the  
above problems, and it has an object of providing a single  
pass variable bit rate coding system, which permits real  
time processing while ensuring improved image quality  
compared to the image quality obtainable with the prior  
25 art single pass variable bit rate coding systems.

According to the present invention, there is  
provided an apparatus for variable bit rate video coding  
on the basis of a predetermined average bit rate

comprising: a video coding means for coding input video with a predetermined quantization step size and providing coded data and a generated bit count; a quantization step size setting means for setting a  
5 reference quantization step size for each first image unit in correspondence to the average bit rate from the quantization step size provided to the video coding means and also the generated bit count provided therefrom; and a quantization step size adjusting means for adjusting  
10 the quantization step size provided from the quantization step size setting means for each second image unit from the generated bit count provided from the video coding means and also from an excess or a shortage of the average bit rate.

15       The first image unit is a group of a plurality of picture frames.

          The second image unit is a group of a plurality of macro-blocks as divisions of one picture frame.

          The quantization step size setting means for  
20 setting the reference quantization step size for each first image unit computes the first image unit complexity defined by the product of the average quantization step size over the preceding coded image and generated bit count, and also computes the reference quantization step  
25 size for each first image unit from the ratio between the first image unit complexity and the average bit rate.

          The quantization step size adjusting means for adjusting the quantization step size for each second

image unit sets a minimum permissible quantization step size.

The quantization step size adjusting means for adjusting the quantization step size for each second  
5 image unit sets a maximum excess of the average bit rate, sets a virtual buffer sized to the maximum excess from which codes are withdrawn at the average bit rate and adjusts the quantization step size for each second image unit by using the buffer occupancy in the virtual buffer  
10 and a utilizable buffer size obtained by subtracting the buffer occupancy in the virtual buffer from the size thereof.

The quantization step size setting means for setting the reference quantization step size for each  
15 second image unit includes a means for computing a first quantization step size by adjusting the quantization step size for each second image unit from the generated bit count provided from the video coding means and the excess or shortage of the average bit rate; and it sets  
20 a maximum bit rate, computes a second quantization step size, which is set in the case of constant bit rate control on the basis of the maximum bit rate, from the quantization step size set in the quantization step size setting means and the generated bit count provided from  
25 the video coding means, and provides the greater one of the first and second quantization step sizes to the video coding means.

The quantization step size setting means for

setting the reference quantization step size for each first image unit computes a first image unit complexity for each first image unit, the first image unit complexity being defined by the product of the summation of generated  
5 bit count and the average quantization step size for each first image unit, and determines the quantization step size of the first image unit from the first image unit complexity of the first image unit and the bit count per first image unit.

10       The quantization step size setting means for setting the reference quantization step size for each first image unit computes the first image unit complexity for each first image unit from the summation of the generated bit count and the average quantization step  
15 size for each first image unit, and determines the quantization step size of the first image unit from the average first image unit complexity for the first image unit over the preceding coded image, the average first image unit complexity of the immediately preceding first  
20 image unit or a plurality of first image unit including the immediately preceding first image unit and the bit rate per first image unit.

      The quantization step size adjusting means for adjusting the quantization step size for each second  
25 image unit preliminarily sets a threshold for quantization step size, when the bit balance to the average bit rate is not excessive, the reference quantization step size set for each first image unit is

compared with the threshold for quantization step size,  
for providing the quantization step size without any  
adjustment when the reference quantization step size is  
not exceeding the threshold for quantization step size,  
5 and adjusting the quantization step size according to  
the bit balance to the average bit rate and selectively  
providing the greater one of the adjusted quantization  
step size and the threshold for quantization step size,  
and when the bit balance to the average bit rate is  
10 excessive, the quantization step size is adjusted  
according to the excess or shortage of the average bit  
rate, the adjusted quantization step size being provided  
as the quantization step size for each second image unit.

According to the present invention, there is  
15 provided a method of variable bit rate video coding on  
the basis of a predetermined average bit rate comprising:  
a video coding step of coding input video with a  
predetermined quantization step size and providing coded  
data and a generated code bit count; a quantization step  
20 size setting step of setting a reference quantization  
step size for each first image unit in correspondence  
to the average bit rate from the quantization step size  
provided to the video coding step and also the generated  
code bit count provided therefrom; and a quantization  
25 step size adjusting means for adjusting the quantization  
step size provided from the quantization step size  
setting means for each second image unit from the  
generated code bit count provided from the video coding



step and also from an excess or a shortage of the average bit rate.

The first image unit is a group of a plurality of picture frames.

5       The second image is a group of a plurality of macro-blocks as divisions of one picture frame.

10       In the quantization step size setting step of setting the reference quantization step size for each first image unit, a first image unit complexity is computed, which is defined by the product of the average quantization step size and generated code bit rate over the preceding coded image, and also the reference quantization step size for each first image unit is computed from the ratio between the first image unit  
15       complexity and the average bit rate.

      In the quantization step size adjusting step of adjusting the quantization step size for each second image unit, a minimum permissible quantization step size is set.

20       In the quantization step size adjusting step of adjusting the quantization step size for each second image unit, a maximum excess of the average bit rate is set, a virtual buffer sized to the maximum excess is set for withdrawing codes at the average code bit, and the  
25       quantization step size is adjusted for each second image unit by using the occupation content in the virtual buffer and a utilizable code bit count obtained by subtracting the occupation content in the virtual buffer from the

size thereof.

The quantization step size setting step of setting the reference quantization step size for each second image unit includes a step of computing a first  
5 quantization step size by adjusting the quantization step size for each second image unit from the generated code bit count provided from the video coding step and the excess or shortage of the average bit rate; and in the computing step, a maximum bit rate is set, a second  
10 quantization step size is computed, which is set in the case of fixed bit rate control on the basis of the maximum bit rate, from the quantized width set in the quantization step size setting step and the generated code bit count provided from the video coding step, and the greater one  
15 of the first and second quantization step sizes is provided to the video coding step.

In the quantization step size setting means for setting the reference quantization step size for each first image unit, a first image unit complexity is  
20 computed for each first image unit, the first image unit being defined by the product of the summation of generated code bit rate and the average quantization step size for each first image unit, and the quantization step size of the first image is determined from the first image  
25 unit complexity of the first image unit and the bit rate per first image unit.

In the quantization step size setting means for setting the reference quantization step size for each

first image unit, the first image unit complexity is  
computed for each first image unit from the summation  
of the generated code bit count and the average  
quantization step size for each first image unit, and  
5 the quantization step size of the first image unit is  
determined from the average first image unit complexity  
for the first image unit over the preceding coded image,  
the average first image unit complexity of the  
immediately preceding first image unit or a plurality  
10 of first image unit including the immediately preceding  
first image unit and the bit rate per first image unit.

In the quantization step size adjusting means of  
adjusting the quantization step size for each second  
image unit, a threshold quantization step size is  
15 preliminarily set, when the excess or shortage of the  
average bit count is not excessive, the reference  
quantization step size set for each first image unit is  
compared with the threshold quantization step size, for  
providing the quantization step size without any  
20 adjustment when the reference quantization step size is  
not exceeding the excess or shortage of the average bit  
rate, and adjusting the quantization step size according  
to the excess or shortage of the average bit count and  
selectively providing the greater one of the adjusted  
25 quantization step size and the threshold quantization  
step size, and when the excess or shortage of the average  
bit count is excessive, the quantization step size is  
adjusted according to the excess or shortage of the

average bit count, the adjusted quantizing with being provided as the quantizing with for each second image unit.

According to other aspect of the present invention,  
5 there is provided a method of variable bit rate coding video data on the basis of a predetermined average bit rate wherein quantization step size is set such as to hold a constant coded image quality level over a plurality of groups of picture images and the quantization step  
10 size is adjusted from the excess or shortage of the actual generated code bit rate with respect to the average bit rate with reference to the quantization step size that has been set as above.

In the present invention, the process of the  
15 setting of the quantization step size by utilizing features of image and the process of adjusting the average bit rate are realized separately for the variable bit rate coding. More specifically, the quantization step size is set such as to hold a constant coded image quality  
20 level over a plurality of groups of pictures.

In the average bit rate adjusting process, the quantization step size is adjusted from the excess or shortage of the actual generated code bit rate with respect to the average bit rate with reference to the  
25 quantization step size that has been set as above. Consequently, it is possible to realize a variable bit rate coder with higher image quality than the prior art single path variable bit rate coder since the

quantization step size determined dependent on the scene becomes constant and then the quantization step size is adjusted so as to make the coding rate to the average bit rate.

5 In addition, by providing a minimum quantization step size that can be set and also by controlling the adjustment of an excessive or insufficient code bit count according to the quantization step size determined in dependence on the scene and the excess or shortage of  
10 the code bit count with respect to the average bit rate, it is possible to suppress generation of excess codes and permit code consumption with images, which it is desired to spend more codes. Thus, it is possible to obtain more uniform image quality improvement.

15 Other objects and features will be clarified from the following description with reference to attached drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a view for describing a method of variable  
20 bit rate video coding according to the present invention;

Fig. 2 is a view for describing the quantization step size setting means 102 shown in Fig. 1;

Fig. 3 is a view for describing the quantization step size adjusting means 105 shown in Fig. 1;

25 Fig. 4 is a flow chart illustrating an example of operation according to the present invention;

Fig. 5 is a block diagram showing the other embodiment of the present invention;

Fig. 6 is a block diagram showing the construction of the maximum bit rate adjusting means 104 shown in Fig. 5;

Fig. 7 is a flow chart illustrating the quantization step size updating process in the embodiment; and

Fig. 8 is a block diagram of a prior art image coding method conforming to the MPEG-2 (ISO/IEC-13818-2).

#### PREFERRED EMBODIMENTS OF THE INVENTION

Preferred embodiments of the present invention will now be described with reference to the drawings.

Fig. 1 is a view for describing a method of variable bit rate video coding according to the present invention. It is assumed that an MPEG-2 (ISO/ICE-13818) system is used as coding system. This coding system, however, is by no means limitative, and it is possible to use any coding technique so long as a scalar quantizing process is adopted and the bit count is controlled according to the quantization step size. For example, it is possible to use an MPEG-1 (ISOIEC-11172) system, or an ITU-T H. 261 or ITU-T H. 263 system.

The quantizing width provided to the video coding means is used as a reference for bit count control, and it by no means interferes with adaptive quantization corresponding to a local position in the picture. This means that the finally provided adaptive quantization step size may be different from the quantization step size provided as reference or the bit count control to

the video coding means.

It is further assumed that GOP (i.e., group of pictures) is a first image coding unit, and the macro-block is the second image coding unit. However, these units in the coding process are by no means limitative, and it is possible to use any units, i.e., a unit of image quality control for a predetermined period of time and a smaller unit for finely adjusting values obtained by this control.

For example, the first image coding unit may be constituted by a plurality of GOPs, and the second image coding unit may be constituted by a plurality of macro-blocks. As further units in the coding process, an aggregation of macro-blocks in a row in the picture, a slice defined in MPEG-1 or MPEG-2 or GOB (i.e., Group Of Blocks) defined in H. 261 are conceivable.

The function of the embodiment of the variable bit rate video coder shown in Fig. 1 according to the present invention will now be described.

The variable bit rate video coder illustrated in Fig. 1 comprises a video coding means 101, a picture group quantization step size setting means 102 and an average bit rate adjusting means 103. The video coding means 101 executes a coding process according to quantization step size  $Q_j$  given for each macro-block, and as a result of the process it outputs generated bit count  $S_j$ .

The quantization step size  $Q_j$  provided to the video coding means 101 is also provided to the quantization

step size setting means 102. The quantization step size setting means 102 sets reference quantization step size  $Q_{gop}$  of GOP according to the quantization step size  $Q_j$  thus provided and also the generated bit count  $S_j$  generated in the coding process, which is executed in the video coding means 101 according to the quantization step size  $Q_j$ .

The actually generated bit count  $S_j$  provided to the quantization step size setting means 102 is also provided to the average bit rate adjusting means 103. The average bit rate adjusting means 103 compares and obtains the difference between the actually generated bit count  $S_j$  and a average bit rate, and adjusts the reference quantization step size  $Q_{gop}$  of GOP provided from the quantization step size setting means 102, thus determining quantization step size  $Q_j$  provided to the video coding means 101.

Fig. 2 is a view for describing the quantization step size setting means 102 shown in Fig. 1. Referring to the Figure, the quantization step size setting means 102 includes a quantization step size accumulator 201, a generated bit count accumulator 202, a coding complexity calculator 203 and a GOP quantization step size calculator 204.

The quantization step size accumulator 201 accumulates the quantization step size  $Q_j$ , which is provided from the average bit rate adjusting means 103 to the quantization step size setting means 102. The



accumulator 201 continues the operation of accumulating the quantization step size  $Q_j$  over the entire coding process sequence. The generated bit count accumulator 202 accumulates the generated bit count  $S_j$ , which is  
5 provided from the video coding means 101 to the quantization step size setting means 102. The accumulator 202 also continues the operation of accumulating the generated bit count over the entire sequence.

10 The coding complexity calculator 203 calculates the complexity of each GOP from the average quantization step size  $Q_j$  obtained from the summation of quantization step size obtained in the quantization step size accumulator 201 and the summation of generated bit count  
15  $S_j$  obtained in the generated bit count accumulator 202. The average quantization step size  $Q_j$  is obtained from the summation of quantization step size  $Q_j$  provided from the quantization step size accumulator 201 and the number of times of output of the quantization step size  $Q_j$  from  
20 the average bit rate adjusting means 103. The summation of generated bit count  $S_j$  is obtained as a result of accumulation of generated bit count  $S_j$ , which has been generated in the video coding means 101 according to the quantization step size  $Q_j$  provided for each macro-block  
25 from the average bit rate adjusting means 103.

The GOP quantization step size calculator 204 computes reference quantization step size  $G_{gop}$  of each GOP from the GOP complexity of each GOP provided from

the GOP complexity calculator 203 and the average bit rate.

Fig. 3 is a view for describing the quantization step size adjusting means 105 shown in Fig. 1. Referring to the Figure, the quantization step size adjusting means 103 includes an average bit rate virtual buffer occupancy calculator 301 and a quantization step size modifier 302. The virtual buffer occupancy calculator 301 calculates a virtual buffer occupancy from the generated bit count  $S_j$  provided for each GOP from the video coding means 101 and the average bit rate.

The quantization step size modifier 302 modifies the quantization step size  $Q_{gop}$  provided from the quantization step size setting means 102 according to the average bit rate virtual buffer occupancy calculated in the virtual buffer occupancy calculator 301, and sends out the modified quantization step size  $Q_{gop}$  to the video coding means 101 and the quantization step size setting means 102.

Fig. 4 is a flow chart illustrating an example of operation according to the present invention. The variable bit rate video coder is first initialized (step S1). In this step, the quantization step size setting means 102 is first initialized to provide initial reference quantization step size  $Q_{gop}$ . Specifically, an initial quantization step size  $Q_{init}$  is preliminarily set. According to the initial quantization step size  $Q_{init}$  the setting means 102 is initialized to provide

the initialized reference quantization step size  $Q_{gop}$  as

$$Q_{gop} = Q_{init}.$$

Then, the accumulators, various counters and  
5 virtual buffer occupancy are reset. Specifically, in the  
quantization step size setting means 102, summation  $S_{sum}$   
of the generated bit count  $S_j$  generated in the generated  
bit count accumulator 201 and summation  $Q_{sum}$  of the  
quantization step size  $Q_j$  in the quantization step size  
10 accumulator 202 are reset to "0". Also, a macro-block  
number counter  $j$  and a GOP number counter  $N_{gop}$  are reset  
to "0". Furthermore, virtual buffer occupancy  $vboc$  is  
reset to "0". Mathematically,

$$S_{sum} = 0$$

$$15 \quad Q_{sum} = 0$$

$$j = 0$$

$$N_{gop} = 0$$

$$\text{and } vboc = 0.$$

In subsequent step S2, the quantization step size  
20 adjusting means 103 updates the reference quantization  
step size  $Q_{gop}$  provided from the quantization step size  
setting means 102. Specifically, the adjusting means 103  
executes adjustment of the reference quantization step  
size  $Q_{gop}$  provided from the quantization step size  
25 setting means 102 according to the generated bit count  
 $S_i$  generated in the coding process executed in the video  
coding means 101 and the buffer occupancy  $vboc$  obtained  
based on the average bit rate.

The quantization step size adjusting means 103 sets the adjusted quantization step size  $Q_j$  as

$$Q_j = Q_{gop} * (1 + vboc/Q_{react})$$

where  $Q_{react}$  is a parameter for determining the magnitude of reaction to control of the generated bit count  $S_j$  for making up for an excess or a shortage, according to the reference quantization step size  $G_{gop}$  set in the quantization step size setting means 102 before update and the virtual buffer occupancy  $vboc$  before update.

10 In subsequent step S3, the video coding means 101 executes a coding process on the next macro-block according to the quantization step size  $Q_j$  provided from the quantization step size adjusting means 103. After the coding process which is executed on one macro-block, 15 for instance, the quantization step size setting means 102 executes step S4 of updating the virtual buffer occupancy  $vboc$  and the summation  $S_{sum}$  of the generated bit count set therein. To update the virtual buffer occupancy  $vboc$ , the setting means 102 adds the generated 20 bit count  $S_j$  generated in the coding process on a  $j$ -th macro-block to  $vboc$  and subtracts the average bit rate  $Rave\_mb$  from the sum.

In addition to the updating of the summation  $S_{sum}$  of the generated bit count set in the generated bit count accumulator 202, the coding means 102 also updates the 25 summation  $Q_{sum}$  of the bit count set in the quantization step size accumulator 201, and further increments a macro-block number counter  $j$ . Mathematically,

$$\text{vboc} = \text{vboc} + S_j - \text{Rave\_mb}$$

$$S_{\text{sum}} = S_{\text{sum}} + S_j$$

$$Q_{\text{sum}} = Q_{\text{sum}} + Q_j$$
 and  $j = j + 1$

5       As is seen from the above calculations, the virtual buffer occupancy represents a bit balance of the actually generated bit count to the average bit rate Rave\_mb.

In subsequent step S5, a judgment is made as to whether the macro-block to be coded is the last  
 10   macro-block in GOP. When it is detected as a result of this judgment that the macro-block to be coded is other than the last macro-block of GOP (NO in step S5), the routine goes back to the step S2 to execute the coding process repeatedly on the next macro-block.

15       When it is detected in the judgment step S5 that the macro-block to be coded is the last macro-block of GOP (YES in step S5), a GOP complexity updating process is executed. Specifically, at this time a coding process on the next GOP is executed. At this time, the GOP number  
 20   counter Ngop is incremented. Also, the GOP complexity calculator 203 in the quantization step size setting means 102 calculates new GOP complexity Xgop for updating from the summation Ssum of the generated bit count and the summation Qsum of the generated bit count in the  
 25   entire sequence of the coding process on the preceding GOP (step S6). Mathematically,

$$\text{Ngop} = \text{Ngop} + 1$$
 and 
$$\text{Xgop} = S_{\text{sum}} * (Q_{\text{sum}}/j) / \text{Ngop}$$

Furthermore, the GOP quantization step size calculator 204 updates the reference quantization step size  $Q_{gop}$  of the preceding GOP (step S7). Specifically, the calculator 204 calculates new reference quantization  
5 step size  $Q_{gop}$  for updating from the ratio of the GOP complexity obtained as the above equation to the average bit rate  $R_{gop}$  per GOP. Mathematically,

$$Q_{gop} = X_{gop}/R_{gop}$$

In subsequent step S8, a judgment is executed as  
10 to whether the coding process on the last macro-block of GOP has been ended. When it is detected in the judgment that the coding process on the last macro-block has been ended (YES in step S8), an end is brought to the coding process on this GOP. When it is detected in the judgment  
15 step S8 that the macro-block having just been coded is other than the last macro-block of GOP, i.e., that the coding process on this GOP has not yet been ended (NO in step S8), the routine goes back to the coding process step S2 for executing the coding process on the succeeding  
20 macro-block.

A different embodiment of the present invention will now be described with reference to Figs. 5 to 7.

Fig. 5 is a block diagram showing the other embodiment of the present invention. This embodiment is  
25 obtained by adding a maximum bit rate adjusting means 104 to the previous embodiment shown in Fig. 1. The maximum bit rate adjusting means 104 controls the quantization step size according to the quantization

step size  $Q_a$  outputted from the average bit rate adjusting means 103 and generates the bit count  $S_j$  outputted from the video coding means 101 such as to observe the restriction on the maximum bit rate set therein, and  
5 output quantization step size  $Q_j$  in second image units.

Fig. 6 is a block diagram showing the construction of the maximum bit rate adjusting means 104 shown in Fig. 5. Referring to the Figure, the maximum bit rate adjusting means 104 includes a constant bit rate control means 401  
10 and a quantization step size selector 402.

The constant bit rate control means 401 calculates the quantization step size in macro-block units by executing constant bit rate coding at a predetermined maximum bit rate. The quantization step size selector  
15 402 compares the quantization step size calculated in the constant bit rate control means 401 and the quantization step size  $Q_a$  set in the average bit rate adjusting means 103 by average bit rate control up to the immediately preceding coded macro-block, and selects  
20 the larger one as quantization step size  $Q_j$  to be provided to the video coding means 101.

The constant bit rate control method may adopt, for instance, a "Test Model 5 method".

As a modification of the embodiment, a means for  
25 controlling the quantization step size outputted from the average bit rate adjusting means may be used, in which a minimum quantization step size  $Q_{min}$  is preliminarily set. In this case, the larger one of the preliminarily

set minimum quantization step size  $Q_{min}$  and the quantization step size  $Q_a$  outputted from the average bit rate adjusting means 103 may be provided as quantization step size  $Q_j$  given as

5            $Q_j = \max(Q_a, Q_{min})$

to the video coding means 101 and the quantization step size setting means 102.

As a different modification of the embodiment, a means for controlling the quantization step size  
10   outputted from the average bit rate adjusting means may be used, which sets a maximum excess bit count  $D_{max}$  from the average bit rate and controls the quantization step size by using the bit count  $D_{max}$  and the virtual buffer occupancy  $vboc$ . For example, as for parameter  $Q_{react}$  used  
15   for the adjustment of the quantization step size according to the virtual buffer occupancy  $vboc$ , utilizable bit count  $(D_{max}-vboc)$  may be calculated by subtracting  $vboc$  from the maximum excess bit count  $D_{max}$ , and the smaller one of  $Q_{react}$  and  $(D_{max}-vboc)$  may be used  
20   as the parameter  $Q_{react}$ , i.e.,

$$Q_{react} = \min(Q_{react}, D_{max}-vboc).$$

Alternatively, it is possible to use

$$Q_j = Q_{gop} * (1 + vboc - vboc / (D_{max} - vboc))$$

or        $Q_j = Q_{gop} * (D_{max} - vboc)$

25   instead of the parameter  $Q_{react}$ .

As a further modification of the embodiment, in the coding process on each GOP, the GOP complexity  $X$  of each GOP may be computed from the average quantization step



size obtained from the summation Ssum.gop of the bit count Sj generated in the video coding means 101 and the summation Qsum.gop of the quantization step size Qj outputted per GOP from the average bit rate adjusting means 103 and the product of these parameters, and average GOP complexity Xgop may be obtained over these sequences, i.e., the coding processes on individual GOPs.

Specifically, when a coding process on an i-th GOP is ended the summation Ssum.gop of the bit count and the summation Qsum.gop of the quantization step size are obtained for the i-th GOP, and the GOP complexity X(i) of the i-th GOP is obtained from the product of these parameters. The summation of the quantization step size is set in macro-block units, and Nmb.gop is the number of macro-blocks per GOP.

In this case, assuming the first GOP serial number is "1", Xgop is calculated as

$$X(i) = Ssum.gop * (Qsum.gop / Nmb.gop)$$

$$\text{and } Xgop = (X(i) + X(i-1) + \dots + X(1)) / i$$

The average GOP complexity Xgop may not be over the GOPs of GOP serial numbers 1 to i, but it may be over any previously coded GOPs, that is, in a further modification of the embodiment it may be

$$Xgop = (X(i) + X(i-1) + \dots + X(i-N)) / (N-1)$$

where N is a parameter for setting the number of GOPs for the average computation.

As a further modification of the embodiment, the reference quantization step size Qgop may be set from

the relation between the average GOP complexity  $X_{ave}$ , which is computed over the entire sequence of calculation of the GOP complexity  $X$  of each GOP, i.e., over the GOPs of GOP serial numbers 1 to  $i$ , and the average GOP complexity  $X_{gop}$ , which is calculated over GOPs from the immediately preceding coded GOP to GOP of GOP serial number  $i$ .

For example, mathematically

$$X_{ave} = (X(i) + X(i-1) + \dots + X(1)) / i$$

$$X_{gop} = (X(i) + X(i-1) + \dots + X(i-N)) / (N+1)$$

$$\text{and } Q_{gop} = (X_{ave} / R_{gop}) * (1 + a * (X_{gop} - X_{ave}))$$

where  $a$  is a parameter for determining the strength of control when setting  $G_{gop}$  according to the difference of  $X$  obtained from  $(X_{gop} - X_{ave})$ . The parameter  $a$  may be set as

$$a = a' / \delta x$$

As a further modification of the embodiment, a threshold  $Q_{th}$  as an upper limit quantization step size, may be provided for average bit rate adjustment when the reference quantization step size  $Q_{gop}$  is adjusted in the average bit rate adjusting means 103. For example, the step S2 of the quantization step size updating process as described before in connection with the flow chart of Fig. 2, may be executed as shown in the flow chart of Fig. 7.

First, the average bit rate adjusting means 103 checks whether the bit count  $S_j$  generated in the coding process on each macro-block is in excess of the average

bit rate assigned per macro-block (step S11). When detecting in the check that the bit count  $S_j$  is in excess of the average bit rate (YES in step S11), the average bit rate adjusting means 103 updates the reference quantization step size  $Q_{gop}$  inputted from the quantization step size setting means 102 (step S12). The updated quantization step size  $Q_j$  is outputted to the video coding means 101 and also to the quantization step size setting means 102.

10 When detecting in the check step S11 that the bit count  $S_j$  is not in excess of the average bit rate (NO in step S11), the average bit rate adjusting means 103 checks whether the reference quantization step size  $Q_{gop}$  inputted from the quantization step size setting means 15 102 is exceeding a threshold  $Q_{th}$ , i.e., upper limit, of the quantization step size (step S13). When detecting in this check that the reference quantization step size  $Q_{gop}$  inputted from the quantization step size setting means 102 is above the threshold quantization step size 20  $Q_{th}$  (YES in step S13), like the step S12 noted above, the average bit rate adjusting means 103 updates the reference quantization step size  $Q_{gop}$  inputted from the GOP quantizing with setting means 102 (step S14). The updated quantization step size  $Q_j$  is compared with the 25 threshold  $Q_{th}$ , and the greater one is selected (step S15).

Detecting in the check step S13 that the reference quantization step size  $Q_{gop}$  inputted from the quantization step size setting means 102 is below the

threshold quantization step size  $Q_{th}$  (NO in step S13),  
the average bit rate adjusting means 103 does not update  
the reference quantization step size  $Q_{gop}$  inputted from  
the quantization step size setting means 102. In this  
5 case, the reference quantization step size  $Q_{gop}$  is  
outputted as  $Q_j$  to the video coding means 101.

As has been shown, the average bit rate adjusting  
means 103 does not always execute adjustment of the  
reference quantization step size inputted from the  
10 quantization step size setting means 102, but it executes  
the adjustment process in dependence on whether the bit  
count of codes generated in the coding process on each  
macro-block is excessive or insufficient relative to the  
average bit rate assigned per macro-block and also the  
15 magnitude relation between the reference quantization  
step size and the threshold or upper limit quantization  
step size.

Thus, when the reference quantization step size  
inputted from the quantization step size setting means  
20 102 is below the upper limit quantization step size and  
the bit count generated in the coding process per  
macro-block is below the average bit rate assigned per  
macro-block, it is possible to suppress the bit count  
of wasteful codes generated for making up for an excess  
25 of codes generated at the average bit rate.

Thus, when an image requires many bit count, it is  
possible to spend the suppressed bits, thus improving  
the average image quality.

As has been described in the foregoing, according to the present invention it is possible to execute real time processing for variable bit rate coding and also higher image quality coding than obtainable with the prior art one-path variable bit rate coder, which  
5 executes the real time processing for variable bit rate coding.

Changes in construction will occur to those skilled in the art and various apparently different  
10 modifications and embodiments may be made without departing from the scope of the present invention. The matter set forth in the foregoing description and accompanying drawings is offered by way of illustration only. It is therefore intended that the foregoing  
15 description be regarded as illustrative rather than limiting.

What is claimed is:

1. An apparatus for variable bit rate video coding on the basis of a predetermined average bit rate comprising:

a video coding means for coding input video with a predetermined quantization step size and providing coded data and a generated code bit count;

a quantization step size setting means for setting a reference quantization step size for each first image unit in correspondence to the average bit rate from the quantization step size provided to the video coding means and also the generated bit count provided therefrom; and

a quantization step size adjusting means for adjusting the quantization step size provided from the quantization step size setting means for each second image unit from the generated bit count provided from the video coding means and also from an excess or a shortage of the average bit rate.

2. The apparatus for variable bit rate video coding according to claim 1, wherein:

the first image unit is a group of a plurality of picture frames.

3. The apparatus for variable bit rate video coding according to claim 1, wherein:

the second image unit is a group of a plurality of macro-blocks as divisions of one picture frame.

4. The apparatus for variable bit rate video coding according to claim 1, wherein:

the quantization step size setting means for setting the reference quantization step size for each first image unit computes an image unit complexity defined by the product of the average quantization step size over the preceding coded image and generated bit count, and also computes the reference quantization step size for each first image unit from the ratio between the first image unit complexity and the average bit rate.

5. The apparatus for variable bit rate video coding according to claim 1, wherein:

the quantization step size adjusting means for adjusting the quantization step size for each second image unit sets a minimum permissible quantization step size.

6. The apparatus for variable bit rate video coding according to claim 1, wherein:

the quantization step size adjusting means for adjusting the quantization step size for each second image unit sets a maximum excess of the average bit rate, sets a virtual buffer sized to the maximum excess from which codes are withdrawn at the average bit rate and adjusts the quantization step size for each second image unit by using the buffer occupancy in the virtual buffer and a utilizable buffer size obtained by subtracting the buffer

occupancy in the virtual buffer from the size thereof.

7. The apparatus for variable bit rate video coding according to claim 1, wherein:

the quantization step size setting means for setting the reference quantization step size for each second image unit includes a means for computing a first quantization step size by adjusting the quantization step size for each second image unit from the generated bit count provided from the video coding means and the excess or shortage of the average bit rate; and

it sets a maximum bit rate, computes a second quantization step size, which is set in the case of constant bit rate control on the basis of the maximum bit rate, from the quantization step size set in the quantization step size setting means and the generated bit count provided from the video coding means, and provides the greater one of the first and second quantization step sizes to the video coding means.

8. The apparatus for variable bit rate video coding according to claim 1, wherein:

the quantization step size setting means for setting the reference quantization step size for each first image unit computes a first image unit complexity for each first image unit, the first image unit complexity being defined by the product of the summation of generated bit count and the average quantization step size for each first image



unit, and determines the quantization step size of the first image unit from the first image unit complexity of the first image unit and the bit count per first image unit.

9. The apparatus for variable bit rate video coding according to claim 1, wherein:

the quantization step size setting means for setting the reference quantization step size for each first image unit computes the first image unit complexity for each first image unit from the summation of the generated bit count and the average quantization step size for each first image unit, and determines the quantization step size of the first image unit from the average first image unit complexity for the first image unit over the preceding coded image, the average first image unit complexity of the immediately preceding first image unit or a plurality of first image unit including the immediately preceding first image unit and the bit rate per first image unit.

10. The apparatus for variable bit rate coding video data according to claim 1, wherein:

the quantization step size adjusting means for adjusting the quantization step size for each second image unit preliminarily sets a threshold for quantization step size,

when the excess or shortage of the average bit rate is not excessive, the reference quantization step size set for each first image unit is compared with the threshold

for quantization step size, for

providing the quantization step size without any adjustment when the reference quantization step size is not exceeding the threshold quantization step size, and

adjusting the quantization step size according to the excess or shortage of the average bit rate and selectively providing the greater one of the adjusted quantization step size and the threshold for quantization step size, and

when the excess or shortage of the average bit rate is excessive, the quantization step size is adjusted according to the excess or shortage of the average bit rate, the adjusted quantization step size being provided as the quantization step size for each second image unit.

11. A method of variable bit rate coding video data on the basis of a predetermined average bit rate comprising:

a video coding step of coding input video with a predetermined quantization step size and providing coded data and a generated code bit count;

a quantization step size setting step of setting a reference quantization step size for each first image unit in correspondence to the average bit rate from the quantization step size provided to the video coding step and also the generated code bit count provided therefrom; and

a quantization step size adjusting means for

adjusting the quantization step size provided from the quantization step size setting means for each second image unit from the generated code bit count provided from the video coding step and also from an excess or a shortage of the average bit rate.

12. The method of variable bit rate coding video data according to claim 11, wherein:

the first image unit is a group of a plurality of picture frames.

13. The method of variable bit rate coding video data according to claim 11, wherein:

the second image is a group of a plurality of macro-blocks as divisions of one picture frame.

14. The method of variable bit rate coding video data according to claim 11, wherein:

in the quantization step size setting step of setting the reference quantization step size for each first image unit, a first image unit complexity is computed, which is defined by the product of the average quantization step size and generated code bit rate over the preceding coded image, and also the reference quantization step size for each first image unit is computed from the ratio between the first image unit complexity and the average bit rate.

15. The method of variable bit rate coding video data according to claim 11, wherein:

in the quantization step size adjusting step of adjusting the quantization step size for each second image unit, a minimum permissible quantization step size is set.

16. The method of variable bit state coding video data according to claim 11, wherein:

in the quantization step size adjusting step of adjusting the quantization step size for each second image unit, a maximum excess of the average bit rate is set, a virtual buffer sized to the maximum excess is set for withdrawing codes at the average code bit, and the quantization step size is adjusted for each second image unit by using the occupation content in the virtual buffer and a utilizable code bit count obtained by subtracting the occupation content in the virtual buffer from the size thereof.

17. The method of variable bit rate coding video data according to claims 11, wherein:

the quantization step size setting step of setting the reference quantization step size for each second image unit includes a step of computing a first quantization step size by adjusting the quantization step size for each second image unit from the generated code bit count provided from the video coding step and the excess or shortage of the average bit rate; and

in the computing step, a maximum bit rate is set, a second quantization step size is computed, which is set in the case of fixed bit rate control on the basis of the maximum bit rate, from the quantized width set in the quantization step size setting step and the generated code bit count provided from the video coding step, and the greater one of the first and second quantization step sizes is provided to the video coding step.

18. The method of variable bit rate coding video according to claim 11, wherein:

in the quantization step size setting means for setting the reference quantization step size for each first image unit, a first image unit complexity is computed for each first image unit, the first image unit being defined by the product of the summation of generated code bit rate and the average quantization step size for each first image unit, and the quantization step size of the first image is determined from the first image unit complexity of the first image unit and the bit rate per first image unit.

19. The method of variable bit rate coding video according to claims 11, wherein:

in the quantization step size setting means for setting the reference quantization step size for each first image unit, the first image unit complexity is computed for each first image unit from the summation of

the generated code bit count and the average quantization step size for each first image unit, and the quantization step size of the first image unit is determined from the average first image unit complexity for the first image unit over the preceding coded image, the average first image unit complexity of the immediately preceding first image unit or a plurality of first image unit including the immediately preceding first image unit and the bit rate per first image unit.

20. The method of variable bit rate coding video coding according to claims 11, wherein:

in the quantization step size adjusting means of adjusting the quantization step size for each second image unit, a threshold quantization step size is preliminarily set, when the excess or shortage of the average bit count is not excessive, the reference quantization step size set for each first image unit is compared with the threshold quantization step size, for

providing the quantization step size without any adjustment when the reference quantization step size is not exceeding the excess or shortage of the average bit rate, and

adjusting the quantization step size according to the excess or shortage of the average bit count and selectively providing the greater one of the adjusted quantization step size and the threshold quantization step size, and

when the excess or shortage of the average bit count is excessive, the quantization step size is adjusted according to the excess or shortage of the average bit count, the adjusted quantizing with being provided as the quantizing with for each second image unit.

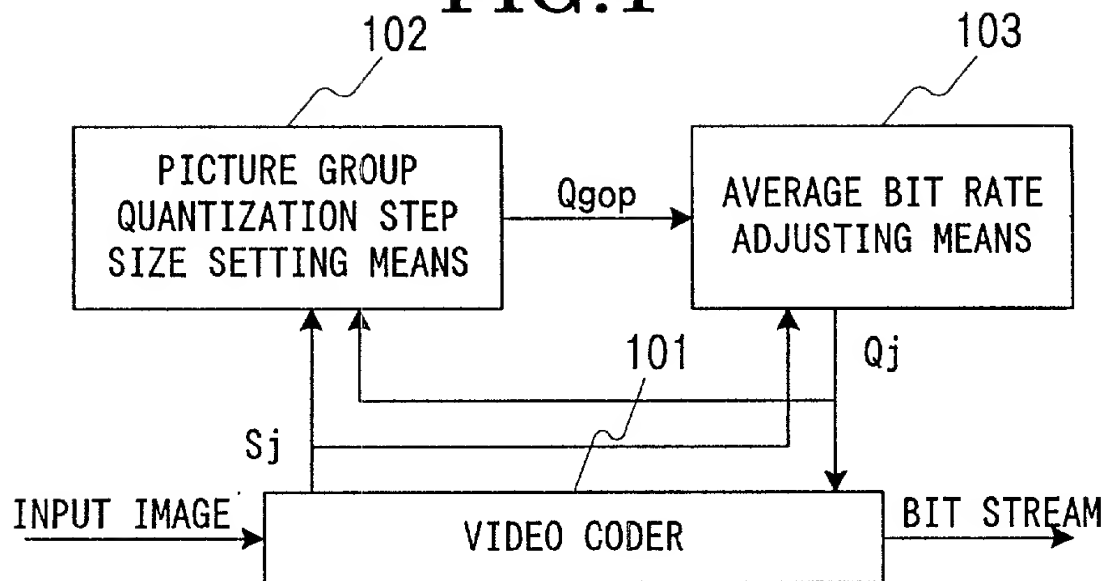
21. A method of variable bit rate coding video data on the basis of a predetermined average bit rate wherein quantization step size is set such as to hold a constant coded image quality level over a plurality of groups of picture images and the quantization step size is adjusted from the excess or shortage of the actual generated code bit rate with respect to the average bit rate with reference to the quantization step size that has been set as above.

# ABSTRACT OF THE DISCLOSURE

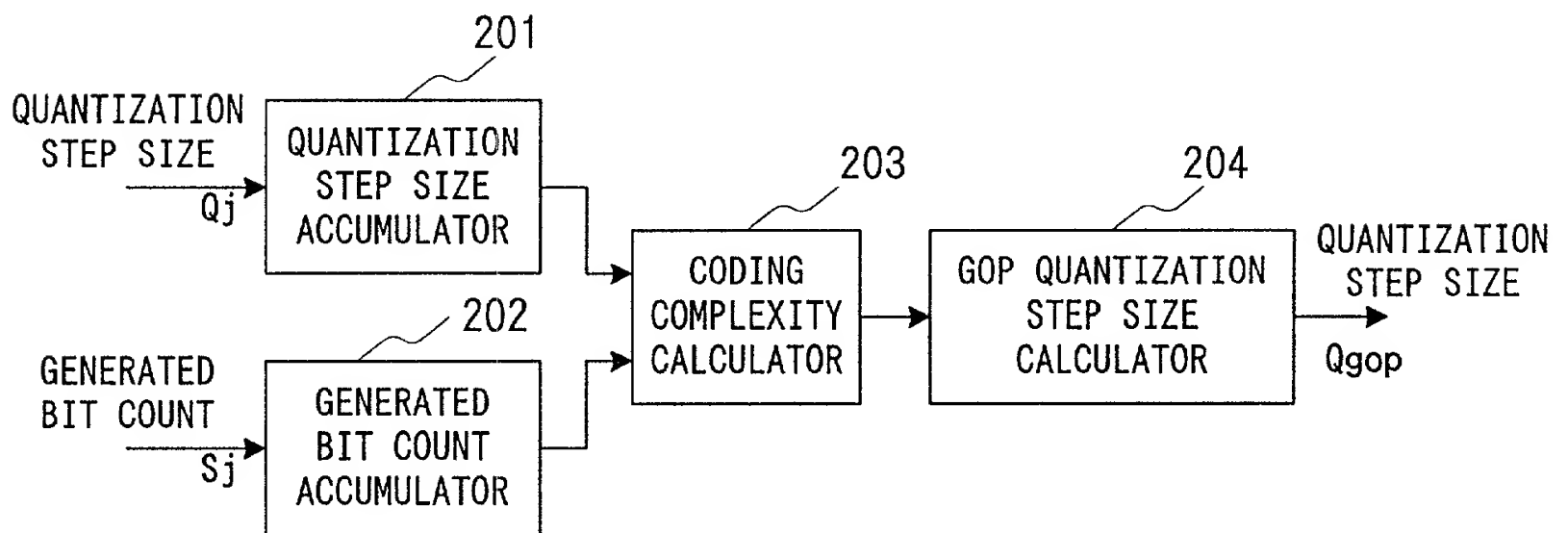
The quantization step size is set on the basis of features of image and the average bit rate is adjusted for the variable bit rate coding. In more specifically, the quantization step size is set such as to hold a constant coded image quality level over a plurality of groups of pictures. The quantization step size is adjusted from the excess or shortage of the actual generated bit count with respect to the average bit rate with reference to the quantization step size that has been set as above.



# FIG.1



# FIG.2



# FIG.3

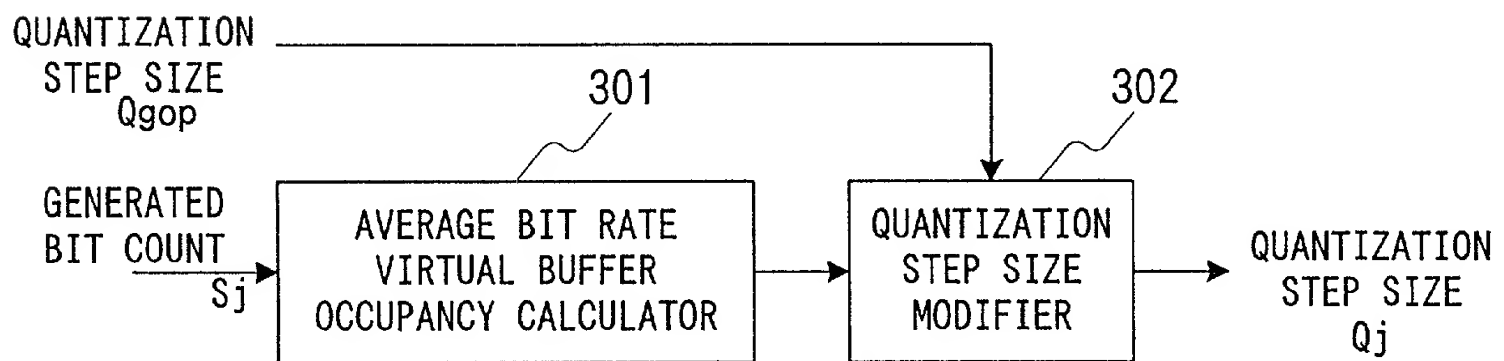
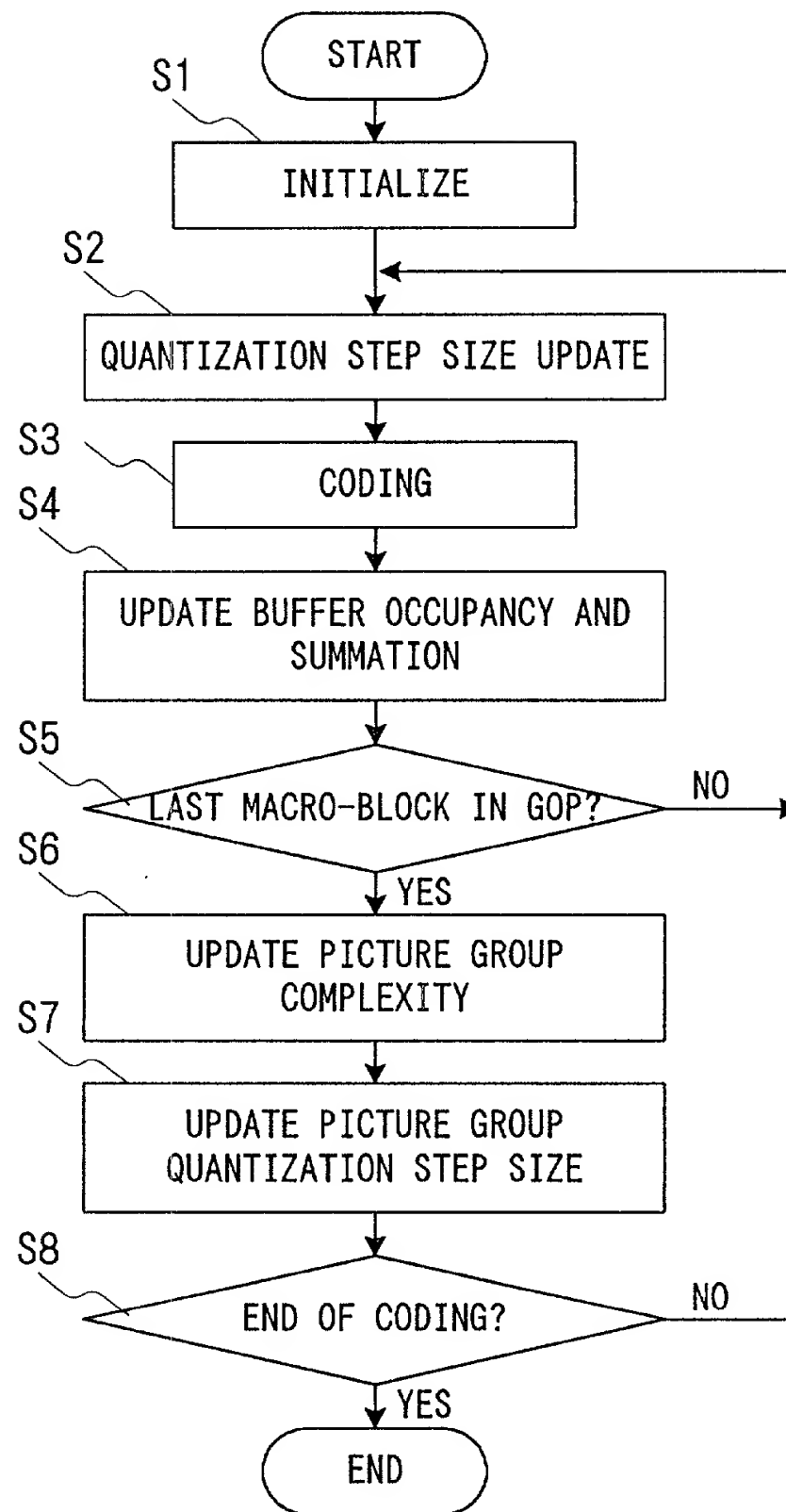
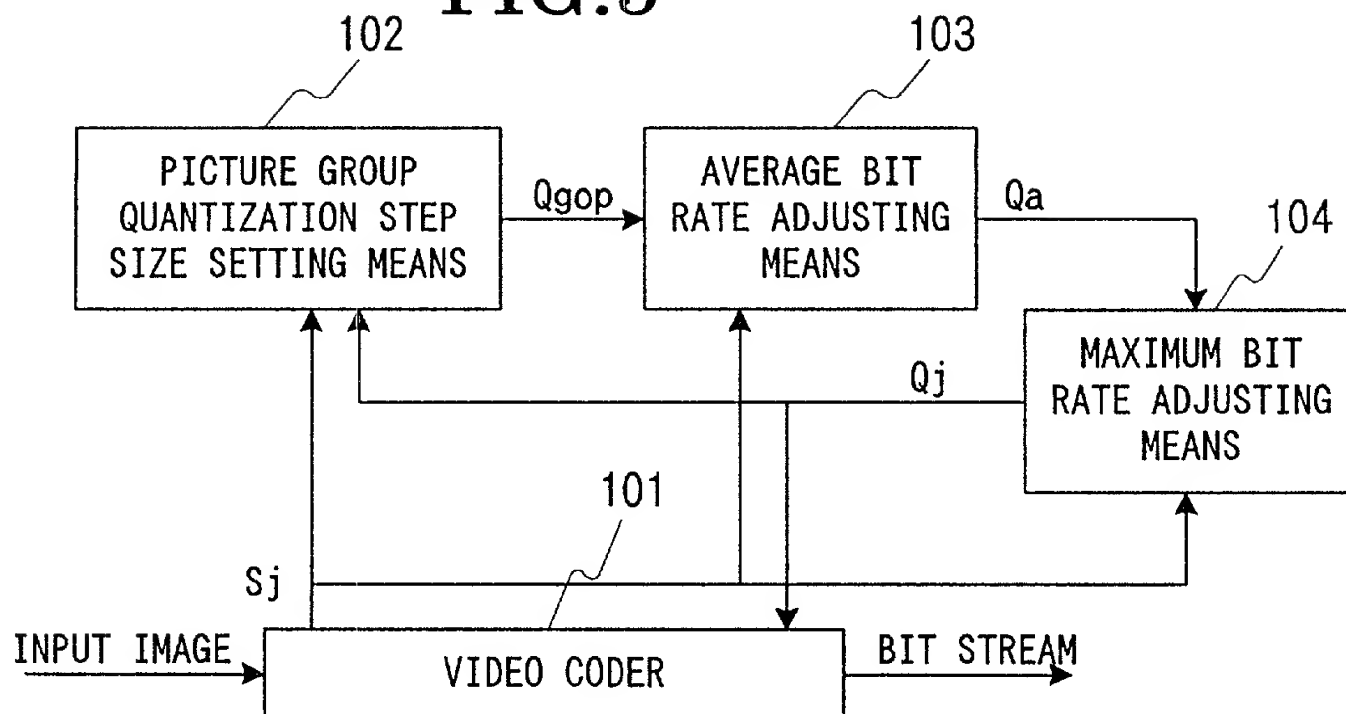


FIG.4



# FIG.5



# FIG.6

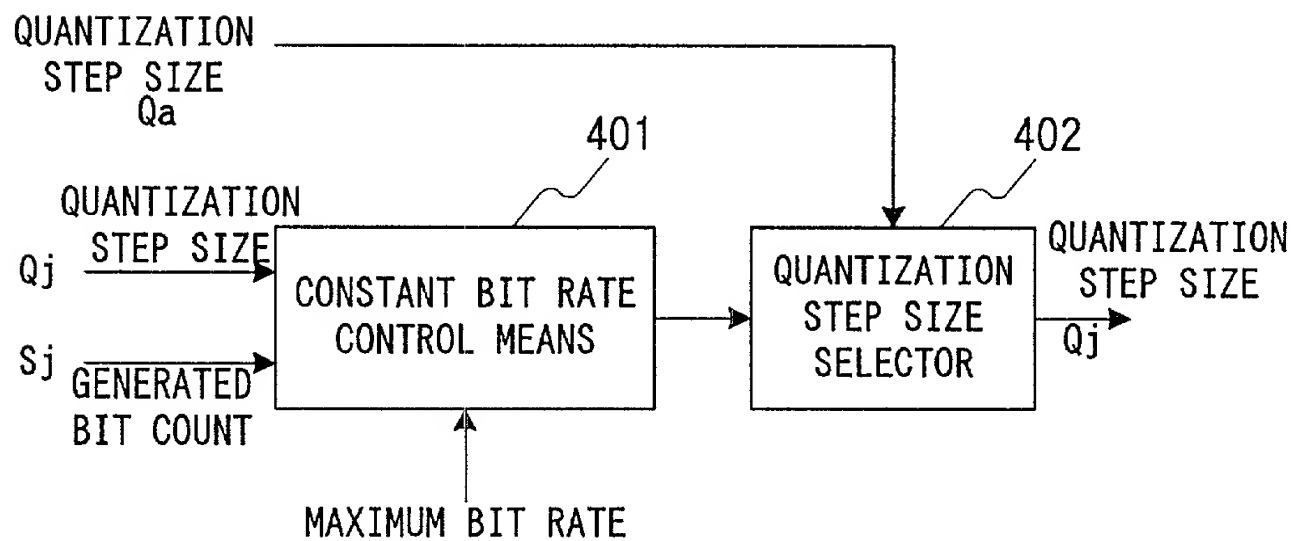


FIG.7

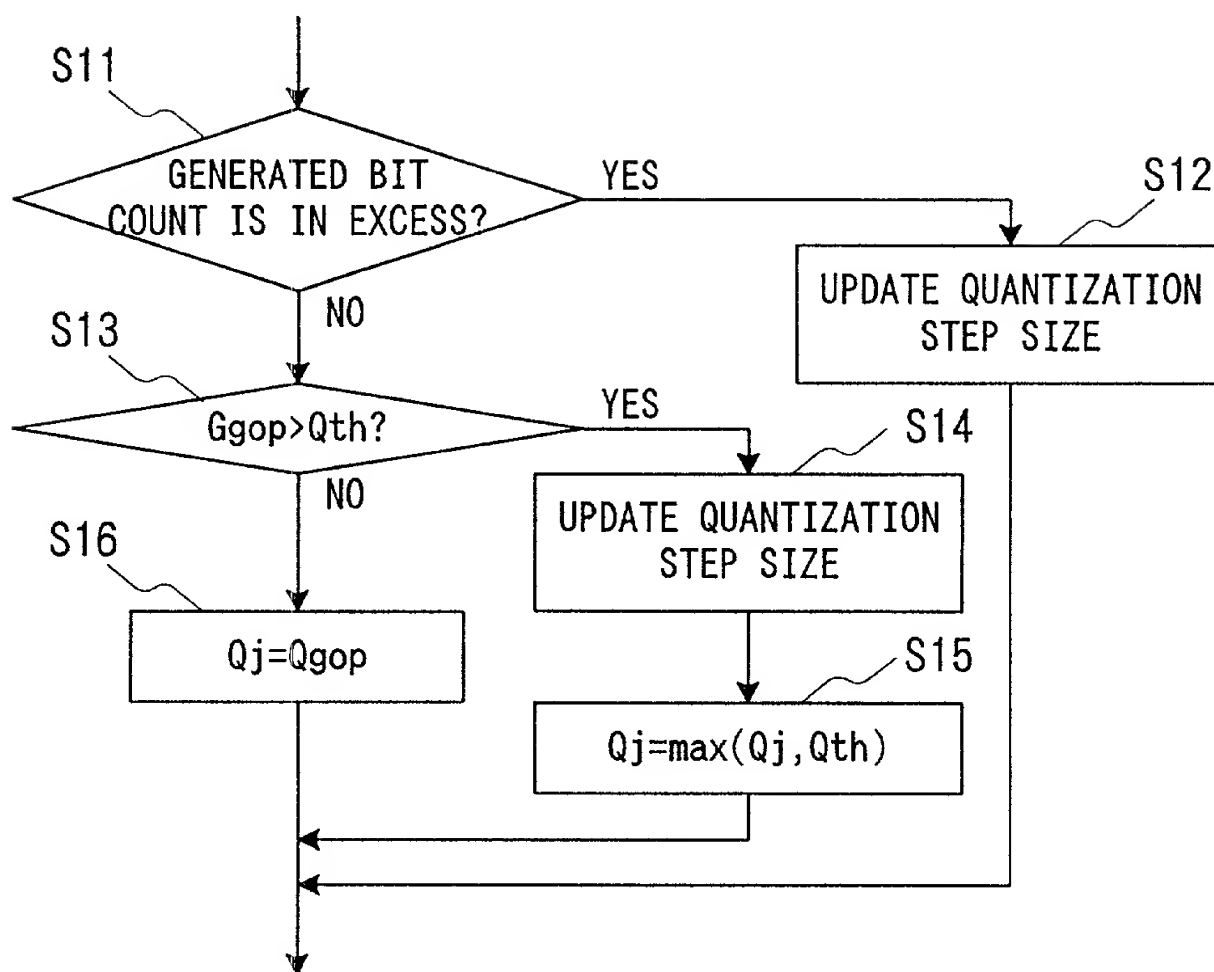
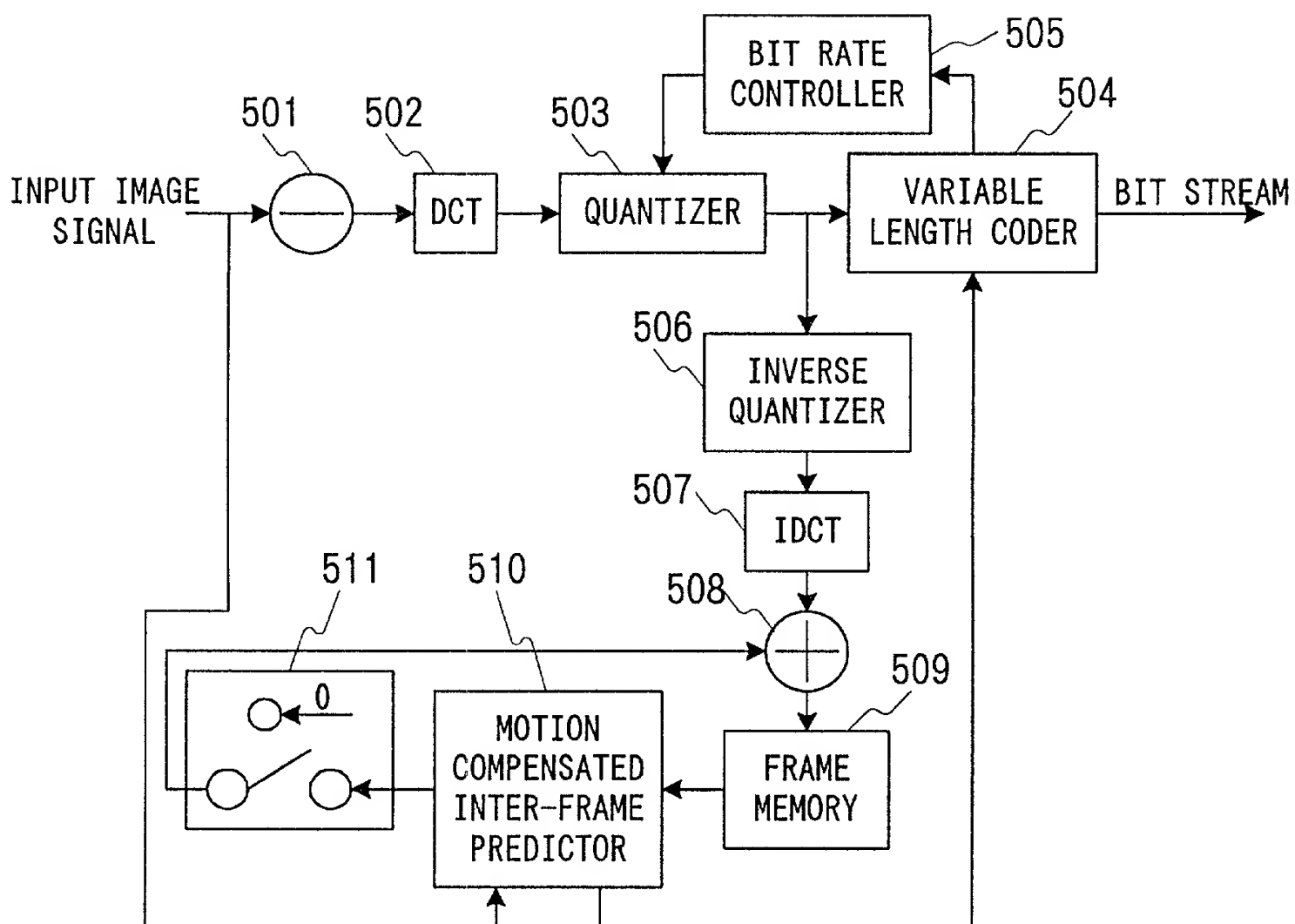


FIG.8



Docket No.

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

APPARATUS FOR AND METHOD OF VARIABLE BIT RATE VIDEO CODING

the specification of which

(check one)

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

368222/1998	JAPAN	24/12/1998	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

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I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

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